

# A Characterization of The Twisted Heisenberg-Virasoro Vertex Operator Algebra

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**Abstract:** The twisted Heisenberg-Virasoro algebra is the universal central extension of the Lie algebra of differential operators on a circle of order at most one. In this paper, we first study the variety of semi-conformal vectors of the twisted Heisenberg-Virasoro vertex operator algebra, which is a finite set consisting of two nontrivial elements. Based on this property, we also show that the twisted Heisenberg-Virasoro vertex operator algebra is a tensor product of two vertex operator algebras. Moreover, associating to properties of semi-conformal vectors of the twisted Heisenberg-Virasoro vertex operator algebra, we characterized twisted Heisenberg-Virasoro vertex operator algebras. This will be used to understand the classification problems of vertex operator algebras whose varieties of semi-conformal vectors are finite sets.

**Key words:** Twisted Heisenberg-Virasoro algebra; Vertex operator algebra; Semi-conformal vector; Semi-conformal subalgebra

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## §1. Introduction

The twisted Heisenberg-Virasoro algebra is the universal central extension of the Lie algebra of differential operators on a circle of order at most one, which has been first studied by Arbarello et al, in Ref. [3]. It contains the classical Heisenberg algebra and the Virasoro algebra as subalgebras. And they also have established a connection between the second cohomology

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of certain moduli spaces of curves and the second cohomology of the Lie algebra of differential operators of order at most one.

The representation theory of the twisted Heisenberg-Virasoro algebra is closely related to those of other Lie algebras, such as the Virasoro algebra and toroidal Lie algebras, and has been studied in Refs. [1, 4-6, 14, 19-20, 26-27]. In Ref.[4], the free field realization of the twisted Heisenberg-Virasoro algebra at level zero is given and its applications can be obtained. In Ref.[2], A. Alexandrov constructed new relations connecting Kontsevich-Witten tau-functions, Hodge integrals and Hurwitz numbers and derived linear constraints for all of them. These constraints as operators form a twisted Heisenberg-Virasoro algebra.

It's well known that the vertex operator algebra theory provides a rigorous mathematical foundation for two dimensional conformal field theory and string theory from the Hamiltonian point of view(Refs.[23, 28]). It follows from Proposition 3.1 in Ref.[6] that the twisted Heisenberg-Virasoro vertex operator algebra has a vertex operator algebra structure which is the tensor product of a Virasoro vertex operator algebra and a Heisenberg vertex operator algebra. In Refs.[8-9], we used their semi-conformal vectors to describe Heisenberg vertex operator algebras and affine vertex operator algebras (began from Refs.[21-22]). [8, Theorem 1.1] tells that the set of all semi-conformal vectors of a vertex operator algebra  $V = \oplus_{n \in \mathbb{Z}} V_n$  forms a Zarisk closed subset (or, an affine algebraic variety) in the weight-two subspace  $V_2$ . For the twisted Heisenberg-Virasoro vertex operator algebra, we find it has only two nontrivial semi-conformal vectors. Thus, we can also see easily that the twisted Heisenberg-Virasoro vertex operator algebra is a tensor product of two vertex operator algebras. Based on the variety of semi-conformal vectors of the twisted Heisenberg-Virasoro vertex operator algebra, we describe such a class of vertex operator algebras. In general, for a simple CFT-type vertex operator algebra  $(V, \omega)$ , if its variety  $\text{Sc}(V, \omega)$  of semi-conformal vectors contains only finite nontrivial elements with the some conditions, then  $(V, \omega)$  is isomorphic to a twisted Heisenberg-Virasoro vertex operator algebra. Actually, this result shows a characterization of twisted Heisenberg-Virasoro vertex operator algebras.

In further work, we shall understand the properties of some class of vertex operator algebras whose varieties of semi-conformal vectors are finite sets, which will lead to classifying vertex operator algebras by properties of their varieties of semi-conformal vectors from a geometric viewpoint.

Notation:  $\mathbb{C}$  is the complex number field;  $\mathbb{R}$  is the real number field;  $\mathbb{Z}$  is the set of all integer numbers;  $\mathbb{N}$  is the set of all non-negative integer numbers;  $\mathbb{Z}_+$  is the set of all positive integer numbers.

## §2. The Vertex Operator Algebra Associated to the Twisted Heisenberg-Virasoro Algebra

In the section, we shall review the vertex operator algebra associated to the twisted Heisenberg-Virasoro algebra. You can refer to the Refs.[3, 4, 6] for more details.

Let  $\mathbb{C}[t^{\pm 1}]$  be the ring of Laurent polynomials with the variable  $t$ . Denoted the Lie algebra of derivations on  $\mathbb{C}[t^{\pm 1}]$  by  $\text{Der}(\mathbb{C}[t^{\pm 1}])$ . Let  $L_n = -t^{n+1} \frac{d}{dt}$ ,  $n \in \mathbb{Z}$ . Then  $\text{Der}(\mathbb{C}[t^{\pm 1}])$  has a basis  $\{L_n \mid n \in \mathbb{Z}\}$ . Let  $A$  be the universal central extension of abelian Lie algebra  $\mathbb{C}[t^{\pm 1}]$  with a basis  $\{t^n, C_h \mid n \in \mathbb{Z}\}$ . The twisted Heisenberg-Virasoro algebra is the universal central extension of the semi-direct product Lie algebra  $\text{Der}(\mathbb{C}[t^{\pm 1}]) \ltimes A$ , denoted by  $\mathcal{HV}$ . The Lie algebra  $\mathcal{HV}$  has a basis

$$\{L_m, t^n, C_v, C_h, C \mid m, n \in \mathbb{Z}\}.$$

The non-trivial Lie bracket relations are as follows

$$[L_m, L_n] = (m-n)L_{m+n} + \delta_{m+n,0} \frac{m^3-m}{12} C_v; \quad (2.1)$$

$$[L_n, t^m] = -mt^{m+n} - \delta_{m+n,0}(n^2+n)C. \quad (2.2)$$

where  $m, n \in \mathbb{Z}$ .

For convenience, we write  $t^n$  as  $b_n$ ,  $\mathcal{HV}$  has a  $\mathbb{Z}$ -graded structure

$$\mathcal{HV} = \bigoplus_{n \in \mathbb{Z}} \mathcal{HV}_{(n)},$$

where for  $n \neq 0$ ,  $\mathcal{HV}_{(n)} = \mathbb{C}L_n \oplus \mathbb{C}b_n$  for  $n \neq 0$  and  $\mathcal{HV}_{(0)} = \text{Span}_{\mathbb{C}}\{L_0, b_0, C_v, C_h, C\}$ . So  $\mathcal{HV}$  has a triangle decomposition

$$\mathcal{HV} = \mathcal{HV}_+ \oplus \mathcal{HV}_- \oplus \mathcal{HV}_{(0)},$$

where  $\mathcal{HV}_+ = \bigoplus_{n>0} \mathcal{HV}_{(n)}$ ;  $\mathcal{HV}_- = \bigoplus_{n>0} \mathcal{HV}_{(-n)}$ .

Let  $\mathbb{C}$  be a 1-dimensional  $\mathcal{HV}_+ \oplus \mathcal{HV}_{(0)}$ -module as follows

$$L_n \cdot 1 = 0, \text{ for } n > 0; b_n \cdot 1 = 0, \text{ for } n > 0; L_0 \cdot 1 = h, b_0 \cdot 1 = h_1,$$

$$C_v \cdot 1 = c_v; C_h \cdot 1 = c_h; C \cdot 1 = c, \quad h, h_1 c_v, c_h, c \in \mathbb{C}.$$

Then we get the induced  $\mathcal{HV}$ -module

$$M(h, h_1, c_v, c_h, c) = U(\mathcal{HV}) \otimes_{U(\mathcal{HV}_+ \oplus \mathcal{HV}_{(0)})} \mathbb{C} \cong U(\mathcal{HV}_-)(\text{as vector spaces}).$$

$M(h, h_1, c_v, c_h, c)$  is  $\mathbb{Z}$ -graded by eigenvalues of the operator

$$L_0 - h \cdot \text{Id} : M(h, h_1, c_v, c_h, c) = \bigoplus_{n=0}^{+\infty} M(h, h_1, c_v, c_h, c)_n$$

with  $M(h, h_1, c_v, c_h, c)_n = \{v \in M(h, h_1, c_v, c_h, c) \mid L(0)v = (n+h)v\}$ .

**Lemma 2.1**<sup>[2]</sup> Let  $c_h = 0$ , and  $c \neq 0$ .

(a) If  $\frac{h_1}{c} \in \mathbb{C} \setminus \mathbb{Z}$  or  $\frac{h_1}{c} = 1$ , then the  $\mathcal{HV}$ -module  $M(h, h_1, c_v, 0, c)$  is irreducible;

(b) If  $\frac{h_1}{c} \in \mathbb{Z} \setminus \{1\}$ , then  $M(h, h_1, c_v, 0, c)$  possesses a singular vector  $v \in M(h, h_1, c_v, 0, c)_p$ , where  $p = \lfloor \frac{h_1}{c} - 1 \rfloor$ . The factor-module  $V = V(h, h_1, c_v, 0, c) = M(h, h_1, c_v, 0, c) / U(\mathcal{HV}_-)v$  is irreducible and its character is  $\text{Ch}(V) = (1 - q^p) \prod_{j \geq 1} (1 - q^j)^{-2}$ .

Denoted by  $V(c_v, c_h, c) = M(0, 0, c_v, c_h, c)$ . Denoted by  $\mathbf{1} = \mathbf{1} \otimes \mathbf{1}$ . Let  $I$  be the  $\mathcal{HV}$ -submodule of  $V(c_v, c_h, c)$  generated by  $L_{-1}\mathbf{1}$ . Then we consider the quotient module  $V_{(c_v, c_h, c)} = V(c_v, c_h, c)/I$ . And it has a basis

$$\left\{ L_{n_1} L_{n_2} \cdots L_{n_k} b_{m_1} b_{m_2} \cdots b_{m_l} \mathbf{1} \mid \mathbf{k}, \mathbf{l} \in \mathbb{N}, \mathbf{n}_1 \leq \cdots \leq \mathbf{n}_k \leq -\mathbf{2}; \mathbf{m}_1 \leq \cdots \leq \mathbf{m}_l \leq -\mathbf{1}, \right\}.$$

$V_{(c_v, c_h, c)}$  has a unique maximal proper submodule, so it has an unique irreducible quotient which is denoted by  $L_{(c_v, c_h, c)}$ . We can define a  $\mathbb{N}$ -graded structure on  $V_{(c_v, c_h, c)}$  as follows

$$\deg(\mathbf{1}) = \mathbf{0};$$

$$\deg(L_{-n_1} L_{-n_2} \cdots L_{-n_k} b_{-m_1} b_{-m_2} \cdots b_{-m_l} \mathbf{1}) = \sum_{j=1}^k \mathbf{n}_j + \sum_{s=1}^l \mathbf{m}_s.$$

Let  $\mathcal{L}(z) = \sum_{n \in \mathbb{Z}} L(n) z^{-n-2}$  and  $b(z) = \sum_{n \in \mathbb{Z}} b(n) z^{-n-1}$ . Then they satisfy commutation relations as follows

$$[\mathcal{L}(z_1), \mathcal{L}(z_2)] = 2\mathcal{L}(z_2) z_1^{-1} \partial_{z_2} \delta\left(\frac{z_2}{z_1}\right) + \partial_{z_2}(\mathcal{L}(z_2)) z_1^{-1} \delta\left(\frac{z_2}{z_1}\right) + \frac{1}{12} z_1^{-1} \partial_{z_2}^{(3)} \delta\left(\frac{z_2}{z_1}\right) c_v. \quad (2.3)$$

$$[b(z_1), b(z_2)] = z_1^{-1} \partial_{z_2} \delta\left(\frac{z_2}{z_1}\right) c_h. \quad (2.4)$$

$$[\mathcal{L}(z_1), b(z_2)] = b(z_2) z_1^{-1} \partial_{z_2} \delta\left(\frac{z_2}{z_1}\right) + \partial_{z_2} b(z_2) z_1^{-1} \delta\left(\frac{z_2}{z_1}\right) - z_1^{-1} \partial_{z_2}^{(2)} \delta\left(\frac{z_2}{z_1}\right) c. \quad (2.5)$$

From above the relations, we have the OPE relations

**Corollary 2.2** There are the following OPE relations

$$\mathcal{L}(z_1) \mathcal{L}(z_2) \sim \frac{c_v/2}{(z_1 - z_2)^4} + \frac{2\mathcal{L}(z_2)}{(z_1 - z_2)^2} + \frac{\partial_{z_2} \mathcal{L}(z_2)}{z_1 - z_2}; \quad (2.6)$$

$$b(z_1) b(z_2) \sim \frac{c_h}{(z_1 - z_2)^2}; \quad (2.7)$$

$$\mathcal{L}(z_1) b(z_2) \sim \frac{\partial_{z_2} b(z_2)}{z_1 - z_2} + \frac{b(z_2)}{(z_1 - z_2)^2} - \frac{2c}{(z_1 - z_2)^3}. \quad (2.8)$$

**Theorem 2.3**<sup>[4]</sup>  $V_{(c_v, c_h, c)}$  is a  $\mathbb{N}$ -graded vertex operator algebra with the conformal vector  $L_{-2}\mathbf{1}$  and the central charge  $c_v$  and are generated strongly by  $\{\mathbf{1}, \mathcal{L}(\mathbf{z}), \mathbf{b}(\mathbf{z})\}$ .

According to the Lemma 2.1 (b), we have the following results

**Corollary 2.4** For  $c_h = 0, c \neq 0$ , the  $\mathcal{HV}$ -module  $M(0, 0, c_h, 0, c)$  possesses a singular vector  $L_{(-1)}\mathbf{1}$  in  $M(0, 0, c_v, 0, c)_1$ . So the factor-module

$$V_{(c_v, 0, c)} = M(0, 0, c_v, 0, c)/U(\mathcal{HV}_-)(L_{(-1)}\mathbf{1})$$

is a simple vertex operator algebra.

Let  $H_{c_h}$  be the Heisenberg vertex operator algebra with the level  $c_h$  generated by  $\{b_n, C_h \mid n \in \mathbb{Z} \setminus \{0\}\}$ . It follows from Proposition 3.1 in Ref. [6] that

**Proposition 2.5** If  $c_h \neq 0$ , the vertex operator algebra  $V_{(c_v, c_h, c)}$  is isomorphic to the tensor product  $V_{(c'_v, 0)} \otimes H_{c_h}$  of a Virasoro vertex operator algebras  $V_{(c'_v, 0)}$  with the central charge  $c'_v$  and  $H_{c_h}$ , where  $c'_v = c_v - 1 + \frac{12c^2}{c_h}$  and  $\omega'' = (\frac{1}{2c_h}(b_{-1})^2 + \frac{c}{c_h}b_{-2})\mathbf{1}$  is the conformal vector of  $H_{c_h}$ .

### §3. Semi-conformal Vectors of the Vertex Operator Algebra $V_{(c_v, c_h, c)}$

In this section, let  $(V, Y, \mathbf{1}, \omega)$  (Abbrev.  $(V, \omega)$ ) be a  $\mathbb{Z}$ -graded vertex operator algebra (Refs. [15, 23, 28] for details). We shall review basic notions and results associated with semi-conformal vectors for a vertex operator algebra  $V$ . This content can be seen in Refs. [14-15]

3.1 First, we review the commutant of a vertex algebra. It's well-known as the coset construction in conformal field theory (Refs. [17-18]).

**Definition 3.1** [7, 18, 23, 25] Let  $W$  be a vertex algebra, and  $U$  be any subset of  $W$ . The commutant of  $U$  in  $W$  is defined by

$$C_W(U) = \{v \in W | [Y(u, z), Y(v, w)] = 0, \forall u \in U\} = \{v \in W | u_n v = 0, \forall u \in U, n \geq 0\}.$$

**Remark 3.2** Obviously,  $\mathbf{1} \in C_W(U)$ . Furthermore,  $C_W(U)$  is a vertex subalgebra of  $W$ . And we also have  $C_W(U) = C_W(\langle U \rangle)$ , where  $\langle U \rangle$  is the vertex subalgebra of  $W$  by the subset  $U$ .

**Remark 3.3** In a VOA  $(V, \omega)$ , let  $(U, \omega')$  be a subalgebra of  $V$ . If  $C_V(C_V(U)) = U$ , we say  $(U, C_V(U))$  forms a Howe pair in  $V$  (Refs. [7, 25]). According to the conclusions in Refs. [18, 23], a subalgebra  $U$  can be realized as a commutant subalgebra of  $V$  if and only if  $(U, C_V(U))$  forms a Howe pair in  $V$ .

3.2 For two given vertex algebras  $(V, Y_V)$  and  $(W, Y_W)$  a homomorphism  $f : V \rightarrow W$  of vertex algebras satisfies

$$f(Y_V(u, z)v) = Y_W(f(u), z)f(v), \quad \forall u, v \in V; \text{ and } f(1_V) = 1_W. \quad (3.1)$$

If  $(V, \omega_V)$  and  $(W, \omega_W)$  are two VOAs with conformal vectors  $\omega_V$  and  $\omega_W$ , respectively, then  $f$  is said to be conformal if  $f(\omega_V) = \omega_W$ . We say  $f$  is semi-conformal if  $f \circ L_V(n) = L_W(n) \circ f$ , for all  $n \geq -1$ . Let  $(V, \omega_V)$  be a VOA and a vertex subalgebra of  $(W, \omega_W)$ . We say  $V$  is a conformal subalgebra (or subVOA) if  $\omega_W = \omega_V$ , i.e., they have the same conformal vector. If the inclusion from  $V$  to  $W$  is semi-conformal, then  $V$  is called a semi-conformal subalgebra of  $W$  and  $\omega_V$  is called a semi-conformal vector of  $W$ .

For a VOA  $(W, \omega_W)$  with the conformal vector  $\omega_W$ , let

$$\text{Sc}(W, \omega_W) = \{\omega' | \omega' \text{ is a semi-conformal vector of } (W, \omega_W)\}.$$

**Lemma 3.4** [8] A vector  $\omega' \in W$  is a semi-conformal vector of  $(W, \omega_W)$  if and only if it

satisfies the following conditions

$$\begin{cases} L'(0)\omega' = L(0)\omega' = 2\omega'; \\ L'(1)\omega' = L(1)\omega' = 0; \\ L'(2)\omega' = L(2)\omega' = \frac{c}{2}\mathbf{1}; \\ L'(-1)\omega' = L(-1)\omega'; \\ L'(n)\omega' = L(n)\omega' = 0, n \geq 3. \end{cases}$$

Where  $Y(\omega', z) = L'(z) = \sum_{n \in \mathbb{Z}} L'(n)z^{-n-2}$ ,  $Y(\omega_W, z) = L(z) = \sum_{n \in \mathbb{Z}} L(n)z^{-n-2}$  and  $c \in \mathbb{C}$ .

Let  $(W, \omega^W)$  be a general  $\mathbb{Z}$ -graded vertex operator algebra. The set  $\text{Sc}(W, \omega^W)$  forms an affine algebraic variety ([8, Theorem 1.1]). In fact, a semi-conformal vector  $\omega' \in W$  can be characterized by algebraic equations of degree at most 2 as described in [8, Proposition 2.2]. The algebraic variety  $\text{Sc}(W, \omega^W)$  has also a partial order  $\preceq$  (See [8, Definition 2.7]), and this partial order can be characterized by algebraic equations in [8, Proposition 2.8].

**Proposition 3.5** If  $c_h \neq 0$ , then  $\text{Sc}(V_{(c, c_h, c_v)}, \omega) = \{0, \omega', \omega - \omega', \omega\}$ , where  $\omega' = \frac{1}{2c_h}b(-1)^2\mathbf{1} + \frac{c}{c_h}\mathbf{b}(-2)\mathbf{1}$ . Moreover, there are two longest partial order chain in  $\text{Sc}(V_{(c, c_h, c_v)}, \omega)$  such as follows

$$0 \preceq \omega' \preceq \omega; 0 \preceq \omega - \omega' \preceq \omega.$$

**Proof** Note that the weight-two subspace of  $V_{(c, c_h, c_v)}$  is spanned by  $\{\omega = L(-2)\mathbf{1}, b(-1)^2\mathbf{1}, b(-2)\mathbf{1}\}$ . Set  $\omega' = xb(-1)^2\mathbf{1} + yb(-2)\mathbf{1} + zL(-2)\mathbf{1}$ , where  $x, y, z \in \mathbb{C}$ . According to the Lemma 3.4, we have  $\omega' \in \text{Sc}(V_{(c, c_h, c_v)}, \omega)$  if and only if  $x, y, z$  satisfy that

$$\begin{cases} 4c_hx^2 + 4x = 2x; \\ 2y + 4xc + 4c_hxy = 2y; \\ y = 2cx; \\ 4x^2c_h^2 - 12y^2c_h + 4c_hx - 24yc + c_v = 2xc_h - 12yc + c_v; \\ 4x^2c_h + 4x = 2x; \\ 4xyc_h + 2y + 4cx = 2y; \\ z = 1. \end{cases}$$

Equivalently,

$$\begin{cases} 4x^2c_h = 2x; \\ 4xyc_h = 2y; \\ 2xc_h - 12yc = 4x^2c_h^2 - 12y^2c_h; \\ 2y = 4cx; \\ z = 0. \end{cases}$$

So we have nontrivial solutions:  $x = -\frac{1}{2c_h}, y = -\frac{c}{c_h}, z = 1$  and  $x = \frac{1}{2c_h}, y = \frac{c}{c_h}, z = 0$ , i.e, there are only two nontrivial semi-conformal vectors  $\omega' = \frac{1}{2c_h}b(-1)^2\mathbf{1} + \frac{c}{c_h}\mathbf{b}(-2)\mathbf{1}$  and  $\omega - \omega'$ .

With respect to the partial order  $\preceq$  of [8, Definition 2.7], we have two longest partial order chain in  $\text{Sc}(V_{(c, c_h, c_v)}, \omega)$  such as follows

$$0 \preceq \omega' \preceq \omega; 0 \preceq \omega - \omega' \preceq \omega.$$

**Remark 3.6** For each  $\omega' \in \text{Sc}(W, \omega_W)$ , it determines a unique dual pair  $(C_W(C_W(<\omega'>)), C_W(<\omega'>))$  as semi-conformal subalgebras of  $(W, \omega_W)$  in the sense of Howe duality in VOA theory. Let  $(V, \omega_V)$  be a semi-conformal subalgebra of  $(W, \omega_W)$ . Then  $(V, \omega_V)$  has a unique maximal conformal extension  $(C_W(C_W(V)), \omega_V)$  in  $(W, \omega_W)$  in the sense that if  $(V, \omega_V) \subset (U, \omega_V)$ , then  $(U, \omega_V) \subset (C_W(C_W(V)), \omega_V)$  (see [23, Corollary 3.11.14]).

**Lemma 3.7** Let  $(V, \omega)$  be a  $\mathbb{N}$ -graded vertex operator algebra with  $V_0 = \mathbb{C}1$  and the conformal vector  $\omega$ . If  $\omega' \in \text{Sc}(V, \omega)$ , then  $C_V(<\omega'>) \otimes C_V(C_V(<\omega'>))$  is a conformal subalgebra of  $V$ , where  $<\omega'>$  is the Virasoro VOA generated by  $\omega'$  in  $V$ .

**Proof** We know that  $L'(n) = 0$  on  $C_V(<\omega'>)$  and  $L(n) = L'(n)$  on  $C_V(C_V(<\omega'>))$  for  $n \geq -1$ , then  $C_V(<\omega'>) \cap C_V(C_V(<\omega'>)) = \mathbb{C}1$ . So  $C_V(<\omega'>) \otimes C_V(C_V(<\omega'>))$  is a conformal subalgebra of  $V$ .

**Theorem 3.8** For  $c_h \neq 0$ , the Heisenberg-Virasoro vertex operator algebra  $V_{(c_v, c_h, c)}$  is isomorphic to the tensor product  $V_{(c'_v, 0)} \otimes H_{c_h}$  of the simple Virasoro VOA  $V_{(c'_v, 0)}$  and the Heisenberg VOA  $H_{c_h}$  with the conformal vector  $\omega' = \frac{1}{2c_h}b(-1)^2\mathbf{1} + \frac{c}{c_h}b(-2)\mathbf{1}$ , where  $c'_v = c_v + \frac{12c^2}{c_h} - 1$ .

**Proof** By Remark 3.6, we note that the maximal semi-conformal subalgebra with the conformal vector  $\omega'$  is the Heisenberg VOA  $H_{c_h}$  in  $V_{(c_v, c_h, c)}$ , i.e.,  $C_{V_{(c_v, c_h, c)}}(C_{V_{(c_v, c_h, c)}}(<\omega'>)) \cong H_{c_h}$ . By Lemma 3.7, we know that  $C_{V_{(c_v, c_h, c)}}(<\omega'>) \otimes H_{c_h}$  is a subVOA of  $V_{(c_v, c_h, c)}$ . And since  $<\omega - \omega'> \otimes H_{c_h} \subset C_{V_{(c_v, c_h, c)}}(<\omega'>) \otimes H_{c_h}$ , then  $C_{V_{(c_v, c_h, c)}}(<\omega'>) \otimes H_{c_h}$  as a subVOA of  $V_{(c_v, c_h, c)}$  has at less two generators  $\{\omega - \omega', b(-1)\mathbf{1}\}$ , where  $b(-1)\mathbf{1}$  generates  $H_{c_h}$ . We know  $V_{(c_v, c_h, c)}$  is also generated by two vectors  $\{b(-1)\mathbf{1}, \omega\}$  and  $C_{V_{(c_v, c_h, c)}}(<\omega'>) \cap H_{c_h} = \mathbb{C}1$ , then  $C_{V_{(c_v, c_h, c)}}(<\omega'>) = <\omega - \omega'> = V_{(c'_v, 0)}$  and  $V_{(c_v, c_h, c)} \cong V_{(c'_v, 0)} \otimes H_{c_h}$ , when  $c_h \neq 0, c'_v = c_v + \frac{12c^2}{c_h} - 1$ .

**Lemma 3.9**<sup>[23]</sup> Let  $V$  be a simple vertex operator algebra and  $U$  be any vertex operator subalgebra (with the same conformal vector  $\omega$ ), for example,  $U = <\omega>$ . Then the vertex subalgebra

$$C_V(U) = \mathbb{C}1.$$

In particular,

$$\text{Ker} L_{-1} = C_V(V) = C_V(<\omega>) = \mathbb{C}1.$$

**Lemma 3.10**<sup>[21]</sup> Let  $(V', Y', 1', \omega'), (V'', Y'', 1'', \omega'')$  be two vertex operator algebras. Then there are

$$C_{V' \otimes V''}(V' \otimes 1'') = C_{V'}(V') \otimes V''; C_{V' \otimes V''}(1' \otimes V'') = V' \otimes C_{V''}(V''),$$

In particular, if  $V'$  is simple vertex operator algebra, then

$$C_{V' \otimes V''}(V' \otimes 1'') = 1' \otimes V''.$$

According to above Lemma 3.10, 3.11, we have

**Corollary 3.11** When  $c_h \neq 0$  and  $c'_v = c_v + \frac{12c^2}{c_h} - 1$ , we have  $C_{V_{(c_v, c_h, c)}}(H_{c_h}) = V_{(c'_v, 0)}$  and  $C_{V_{(c_v, c_h, c)}}(V_{(c'_v, 0)}) = C_{V_{(c'_v, 0)}}(V_{(c'_v, 0)}) \otimes H_{c_h}$ .

**Corollary 3.12** 1) If  $c'_v \neq 1 - \frac{6(p-q)^2}{pq}$  for all coprime integer pairs  $p, q \geq 2$  and  $c'_v = c_v - 1 + 12\frac{c^2}{c_h}$  for  $c_h \neq 0$ , the vertex operator algebra  $V_{(c_v, c_h, c)}$  is a simple vertex operator algebra;

2) If there exists coprime integers  $p, q \geq 2$  such that  $c'_v = 1 - \frac{6(p-q)^2}{pq}$  and  $c'_v = c_v - 1 + 12\frac{c^2}{c_h}$  for  $c_h \neq 0$ , the vertex operator algebra  $V_{(c_v, c_h, c)}$  has a unique simple quotient  $L_{(c_v, c_h, c)} = L_{(c'_v, 0)} \otimes H_{c_h}$ .

**Proof** If  $c'_v \neq c_{p,q} = 1 - \frac{6(p-q)^2}{pq}$  for all coprime integer pairs  $p, q \in \{2, 3, \dots\}$ , then  $V_{(c'_v, 0)}$  is a simple vertex operator algebra. By Theorem 3.8, we get  $V_{(c_v, c_h, c)}$  is a simple vertex operator algebra.

If  $c'_v = c_{p,q} = 1 - \frac{6(p-q)^2}{pq}$  for some coprime integer pairs  $p, q \in \{2, 3, \dots\}$ , then  $V_{(c'_v, 0)}$  is not a simple vertex operator algebra, but it has a unique simple quotient  $L_{(c'_v, 0)}$ . By Theorem 3.8, we know that  $V_{(c_v, c_h, c)}$  is not a simple vertex operator algebra, however, it has a unique simple quotient  $L_{(c_v, c_h, c)} = L_{(c'_v, 0)} \otimes H_{c_h}$ .

## §4. The Characterization of Twisted Heisenberg-Virasoro Vertex Operator Algebras

In this section, according to the properties of twisted Heisenberg-Virasoro vertex operator algebras, we characterize this class of vertex operator algebras by semi-conformal vectors.

Let  $V$  be a simple  $\mathbb{N}$ -graded vertex operator algebra with  $V_0 = \mathbb{C}1$ . Such  $V$  is also called a *simple CFT type* vertex operator algebra (Refs. [10-11]). If  $V$  satisfies the further condition that  $L(1)V_1 = 0$ , it is of strong CFT type. Li has shown (Ref. [24]) that such a vertex operator algebra  $V$  has a unique non-degenerate invariant bilinear form  $\langle, \rangle$  up to a multiplication of a nonzero scalar. In particular, the restriction of  $\langle, \rangle$  to  $V_1$  endows  $V_1$  with a non-degenerate symmetric invariant bilinear form  $\langle u, v \rangle = u(1)v$  for  $u, v \in V_1$ . For  $v \in V_n$ , the component operator  $v(n-1)$  is called the zero mode of  $v$ . It is well-known that  $V_1$  forms a Lie algebra with the bracket operation  $[u, v] = u(0)v$  for  $u, v \in V_1$ . For a simple CFT-type vertex operator algebra  $(V, \omega)$ , if the bilinear form on  $V_1$  is nondegenerate, we say  $(V, \omega)$  is a *non-degenerate simple CFT type vertex operator algebra*. Let  $(V, \omega_V)$  be a semi-conformal subalgebra of  $(W, \omega_W)$  and  $(U, \omega_U)$  be a semi-conformal subalgebra of  $(W, \omega_W)$ . If  $V \subset U$ , then we say  $(U, \omega_U)$  is a conformal extension of  $(V, \omega_V)$  in  $(W, \omega_W)$ .

**Lemma 4.1** Let  $(U, \omega_U)$  and  $(V, \omega_U)$  be two semi-conformal subalgebras of the VOA  $(W, \omega_W)$ . If  $(U, \omega_U)$  is a conformal extension of  $(V, \omega_U)$  in  $(W, \omega_W)$ , then

1)

$$C_W(V) = C_W(U);$$

2)

$$\text{Sc}(C_W(V), \omega_W - \omega_U) = \text{Sc}(C_W(U), \omega_W - \omega_U).$$

**Proof** Since  $(U, \omega_U)$  is a conformal extension of  $(V, \omega_U)$  in  $(W, \omega_W)$ , then  $C_W(V)$  is a conformal extension of  $C_W(U)$  in  $(W, \omega_W)$  and they are both semi-conformal subalgebras with



the conformal vector  $\omega_W - \omega_U$ . According to Refs.[12,16], we know that there is a unique maximal conformal extension for a semi-conformal subalgebra  $(S, \omega_S)$ , which is realized as the double commutant  $(C_W(C_W(S)))$  of  $(S, \omega_S)$  in  $(W, \omega_W)$  in the sense that if  $(S, \omega_S) \subset (T, \omega_S)$ , then  $(T, \omega_S) \subset (C_W(C_W(S)), \omega_S)$ . So  $C_W(C_W(C_W(V))) = C_W(C_W(C_W(U)))$ . Since  $C_W(C_W(C_W(S))) = C_W(S)$  for a general subalgebra  $S$  of  $W$ , then we have  $C_W(V) = C_W(U)$ ;

According to the definition of semi-conformal vectors of  $W$ , the assert 2) is obvious.

**Lemma 4.2** Let  $(V, \omega)$  be a  $\mathbb{Z}$ -graded vertex operator algebra and  $(U, \omega')$  be a vertex subalgebra of  $V$ . Then  $\omega' \in \text{Sc}(V, \omega)$  if and only if  $\text{Sc}(U, \omega') \subset \text{Sc}(V, \omega)$ .

**Proof** Since  $\omega' \in \text{Sc}(V, \omega)$ , then  $(U, \omega')$  is a semi-conformal subalgebra of  $V$ . For any  $\omega'' \in \text{Sc}(U, \omega')$ , we have  $L''(n) = L'(n)$  on  $W$  for  $n \geq -1$ , where  $(W, \omega'')$  is a semi-conformal subalgebra of  $U$ . Since  $\omega' \in \text{Sc}(V, \omega)$ , then we have  $L(n) = L'(n)$  on  $U$  for  $n \geq -1$ . So we have  $L(n) = L''(n)$  on  $W$  for  $n \geq -1$ . Hence  $\omega'' \in \text{Sc}(V, \omega)$ .

If  $\text{Sc}(U, \omega') \subset \text{Sc}(V, \omega)$ , it is obvious that  $\omega' \in \text{Sc}(V, \omega)$ .

**Lemma 4.3**<sup>[8]</sup> Let  $(V, \omega)$  be a nondegenerate simple CFT type vertex operator algebra generated by  $V_1$ . Let  $(V', \omega')$  and  $(V'', \omega'')$  be two vertex operator subalgebras with possible different conformal vectors. Assume that  $(V, \omega) = (V', \omega') \otimes (V'', \omega'')$  is a tensor product of vertex operator algebras (see [12, Section 3.12]). Then

- 1)  $(V', \omega')$  and  $(V'', \omega'')$  are semi-conformal subalgebras and both are also non-degenerate simple CFT type;
- 2)  $V_1 = V'_1 \otimes \mathbf{1}'' \oplus \mathbf{1}' \otimes V''_1$ , is an orthogonal decomposition with respect to the non-degenerate symmetric bilinear form  $\langle \cdot, \cdot \rangle$  on  $V_1$ ;
- 3)  $[V'_1 \otimes \mathbf{1}'', \mathbf{1}' \otimes V''_1] = \mathbf{0}$  with the Lie bracket  $[\cdot, \cdot]$  on  $V_1$ ;
- 4)  $\text{Sc}(V', \omega') \otimes \mathbf{1}''$ ,  $\mathbf{1}' \otimes \text{Sc}(V'', \omega'')$ , and  $\text{Sc}(V', \omega') \otimes \mathbf{1}'' + \mathbf{1}' \otimes \text{Sc}(V'', \omega'')$  are subsets of  $\text{Sc}(V, \omega)$ ;
- 5) For each  $\tilde{\omega}' \in \text{Sc}(V', \omega')$ , we have

$$C_V(\langle \tilde{\omega}' \rangle \otimes \mathbf{1}'') = \mathbf{C}_{V'}(\langle \tilde{\omega}' \rangle) \otimes V''$$

and

$$C_V(C_V(\langle \tilde{\omega}' \rangle \otimes \mathbf{1}'')) = \mathbf{C}_{V'}(\mathbf{C}_{V'}(\langle \tilde{\omega}' \rangle)) \otimes \mathbf{1}''.$$

**Lemma 4.4** For a simple CFT type VOA  $(V, \omega)$ , if  $V = V^1 \otimes V^2$  and  $(V^1, \omega^1)$  and  $(V^2, \omega^2)$  are vertex operator subalgebras of  $V$ , then

- 1)  $C_V(\langle \omega^1 \rangle) = C_V(C_V(\langle \omega^2 \rangle)) = V^2$  and  $C_V(\langle \omega^2 \rangle) = C_V(C_V(\langle \omega^1 \rangle)) = V^1$ ;
- 2) When  $\text{Sc}(V, \omega) = \{0, \omega^1, \omega^2, \omega\}$ , we have  $\text{Sc}(V^1, \omega^1) = \{0, \omega^1\}$  and  $\text{Sc}(V^2, \omega^2) = \{0, \omega^2\}$ .

**Proof** First, we note that  $\omega = \omega^1 + \omega^2$ . Since  $L^1(n) = 0$  on  $V^2$  and  $L^2(n) = 0$  on  $V^1$ , so  $L(n) = L^1(n)$  on  $V^1$  and  $L(n) = L^2(n)$  on  $V^2$  for  $n \in \mathbb{Z}$ , that is  $\omega^1, \omega^2 \in \text{Sc}(V, \omega)$ .

According to Lemma 3.10, we know that  $C_V(V^1) = C_V(C_V(V^2)) = V^2$  and  $C_V(V^2) = C_V(C_V(V^1)) = V^1$ . Since there exists a unique maximal semi-conformal subalgebra of  $V$  for each  $\omega' \in \text{Sc}(V, \omega)$ , which can be realized as the double commutant subalgebra containing  $\omega'$  as the conformal vector, then we have  $C_V(<\omega^1>) = C_V(C_V(<\omega^2>)) = V^2$  and  $C_V(<\omega^2>) = C_V(C_V(<\omega^1>)) = V^1$ .

When  $\text{Sc}(V, \omega) = \{0, \omega^1, \omega^2, \omega\}$ , since  $V = V^1 \otimes V^2$ , then  $V^1, V^2$  are both semi-conformal subalgebras of  $V$ . By Lemma 4.2, we know that  $\text{Sc}(V^1, \omega^1) = \{0, \omega^1\}$  and  $\text{Sc}(V^2, \omega^2) = \{0, \omega^2\}$ .

For a CFT-type VOA  $(V, \omega)$ , we know that  $V_1$  forms a Lie algebra with the bracket operation  $[u, v] = u(0)v$  for  $u, v \in V_1$ .

**Lemma 4.5** For a non-degenerate CFT-type vertex operator algebra  $V = V^1 \otimes V^2$ , where  $(V^1, \omega^1)$  and  $(V^2, \omega^2)$  are subVOAs of  $V$ , if  $\text{Sc}(V, \omega) = \{0, \omega^1, \omega^2, \omega\}$ , then either  $V_1^1 = 0$  or  $V_1^2 = 0$ .

**Proof** Since  $V = V^1 \otimes V^2$ , by Lemma 4.3 1), we have  $V_1 = V_1^1 \oplus V_1^2$  and  $V_1^1$  is orthogonal to  $V_1^2$  in  $V_1$ . If  $V_1^1 \neq 0$  and  $V_1^2 \neq 0$ , we take  $h_1 \in V_1^1, h_2 \in V_1^2$  such that  $\langle h_i, h_i \rangle = 1, \langle h_1, h_2 \rangle = 0$  for  $i = 1, 2$ , let  $W_1 = \text{Span}_{\mathbb{C}}\{h_1, h_2\}$ . As an abelian Lie algebra,  $W_1$  generates a Heisenberg vertex operator algebra  $M_{W_1}(1)$  with the rank 2. According to Ref. [?], we know that  $\text{Sc}(M_{W_1}(1))$  is a infinite set, and then by Lemma 4.2, we get  $\text{Sc}(M_{W_1}(1)) \subset \text{Sc}(V, \omega)$ . So there is a contraction with  $\text{Sc}(V, \omega) = \{0, \omega^1, \omega^2, \omega\}$ . Therefore, either  $V_1^1 = 0$  or  $V_1^2 = 0$ .

**Theorem 4.6** Assume that  $(V, \omega)$  is a simple non-degenerate CFT type vertex operator algebra and be generated strongly by the subspace  $V_1 \oplus V_2$ , where  $V_1 \neq 0$  is an abelian Lie algebra as the weight-one subspace and  $V_2$  is the weight-two subspace with  $\dim V_2 = 1$ . If  $\text{Sc}(V, \omega) = \{0, \omega', \omega'', \omega\}$  and  $V = C_V(<\omega'>) \otimes C_V(<\omega''>)$ , then  $(V, \omega)$  is isomorphic to a simple twisted Heisenberg-Virasoro vertex operator algebra.

**Proof** Assume that  $<\omega'>$  and  $<\omega''>$  have central charges  $c', c''$  as Virasoro vertex operator algebras, respectively. At first, since  $V = C_V(<\omega'>) \otimes C_V(<\omega''>)$ , we note that  $\omega'' = \omega - \omega'$  and  $C_V(<\omega''>) = C_V(C_V(<\omega'>))$ . By Lemma 4.3 2), we have  $V_1 = C_V(<\omega'>)_1 \oplus C_V(<\omega''>)_1$  and  $C_V(<\omega'>)_1$  is orthogonal to  $C_V(<\omega''>)_1$  in  $V_1$ . By Lemma 4.5, we know that either  $C_V(<\omega'>)_1 = 0$  or  $C_V(<\omega''>)_1 = 0$ . We can assume that  $C_V(<\omega'>)_1 = 0$ , then  $C_V(<\omega''>)_1 = V_1$ .

Since  $V_1$  is an abelian Lie algebra, then  $V_1$  generates a simple Heisenberg VOA  $M_{V_1}(c')$  in  $V$  and  $C_V(<\omega''>) = M_{V_1}(c')$ , where  $c'$  is the central charge of  $M_{V_1}(c')$ . According to the condition  $\text{Sc}(V, \omega) = \{0, \omega', \omega'', \omega\}$  and the results of Ref.[15], we know that  $\dim V_1 = 1$ . Note that  $V$  is simple, then  $C_V(<\omega''>)$  and  $C_V(<\omega'>)$  are both simple. On the other hand, since  $C_V(<\omega'>)_1 = 0$  and  $\dim V_2 = 1$ , then  $C_V(<\omega'>) = <\omega''>$ , where  $<\omega''>$  is the simple Virasoro VOA with the central charge  $c''$ . Finally, according to Theorem 3.8, we obtain that  $V$  is isomorphic to the twisted Heisenberg vertex operator algebra  $V_{(c''+c', 1-\frac{12c'^2}{c''}, c')}$  or  $L_{(c''+c', 1-\frac{12c'^2}{c''}, c')}$  for some  $c \in \mathbb{C}$  as two cases in Corollary 3.12.

The twisted Heisenberg-Virasoro vertex operator algebra has two nontrivial semi-conformal vectors and it is also a tensor product of two vertex operator algebras. Such information will lead us to study the classification of VOAs with two nontrivial semi-conformal vectors in further

work.

**Remark 4.7** According to our present study, we know that some basic simple CFT type vertex operator algebras have no nontrivial semi-conformal vectors as follows

- $M(1)$ (Ref.8), which is the Heisenberg vertex operator algebra with the rank 1 generated by  $= Ch$ ;
- $L_{\hat{sl}_2}(1, 0)$ , which is the simple affine type vertex operator algebra associated to  $sl_2$ (Ref.[9]);
- $L(\ell, 0)$ , which is the simple Virasoro vertex operator algebra with the central charge  $\ell \neq 0$ (Ref.[29]);
- $K(sl_2, \ell)$ , which is the parafermion vertex operator algebra with the level  $\ell \neq 1$ (Refs. [12-13]).
- $V_{\sqrt{k}A_1}$ , which are a class of lattice vertex operator algebras associated to root lattice of type  $A_1$  for  $k \in \{1, 3, 4, \dots\}$ (Ref.[28]).

It is interesting problem for us that the classification of vertex operator algebras without nontrivial semi-conformal vectors. Moreover, based on Theorem 4.6, we conjecture that for a vertex operator algebra  $(V, \omega)$  with two nontrivial semi-conformal vectors, it should contain a conformal vertex operator subalgebra which is a tensor product of two vertex operator algebras without nontrivial semi-conformal vectors up to isomorphism. In fact, we expect to classify vertex operator algebras with two nontrivial semi-conformal vectors by tensor decompositions of vertex operator algebras.

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